PATENT

<u>N THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

Applicant:

Klein L. Johnson

Examiner:

Mooney, Michael P.

Serial No.:

09/342,801

Group Art Unit: 2877

Filed:

June 29, 1999

For:

HERMETIC CHIP-SCALE PACKAGE FOR PHOTONIC DEVICE

Docket No.:

1139.1125101 (H16-25073 US)

TRANSMITTAL SHEET

Mail Stop Amendment

Assistant Commissioner for Patents PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: E333851715US, in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 21 day of May, 2003.

> $\mathbf{B}\mathbf{y}$ Lynn Thompson

We are transmitting herewith the attached:

[X]

Amendment in response to 2-13-03 office action

Additional Fees Calculated Below [X]

CLAIMS AS AMENDED							
	(3)	(4) (5)		SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	65-	57=	8	х9=	\$	x18=	\$ 144
INDEPEN- DENT CLAIMS	5-	4= .	1	x42=	\$	x84=	\$ 84
() FIRST MULT	IPLE DEPENDENT (+140=	\$	+280=	\$		
TOTAL		\$		\$228.00			

Fee Transmittal For FY 2003 authorizing payment in the amount of \$228.00 (claims) to [X] be charged to Honeywell Deposit Account No. 01-1125.

Letter to Official Draftsperson. [X]

Four (4) sheets substitute corrected formal drawings [X]

Please charge any deficiencies or credit any overpayment in the enclosed fees to [X]

Honeywell Deposit Account No. 01-1125.

Brian N. Tufte, Reg. No. 38,638

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2402

Telephone:

(612) 677-9050

Facsimile:

(612) 359-9349



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FEE TRANSMI	ΓΤΔΙ	COMPLETE IF KNOWN						
PE C For FY 200		Application No.	09/342,801					
*\		Filing Date	June 29, 1999 Klein L. Johnson 2877					
AN 1 2 2003 Statement fees are subject to annual	or area.	First Named Inventor						
	,	— Group Art Unit						
AMOUNT OF PAYMENT	\$228.00	Examiner Name Michael P. Mooney						
AMOUNT OF PATMENT	\$220.00	Attorney Docket No.	H16-25073 US (1139.1125101)					

METHOD OF PAYMENT (check one)			FEE CALCULATION (continued)								
1 The Commissioner is hereby authorized to charge		3. ADDITIONAL FEES			FEES						
X indicated fees and credit any overpayments to					Large Entity Small		Entity				
Deposit			Fee	Fee	Fee	Fes \$	Fee Description	Fce Paid			
Account Number	01-	1125			٦	105	\$ 130	Code 205	ა 65	Surcharge - lete filing fee or oath	
				127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
Deposit Account	Han	evwell ir	ternatio	onal Inc	7	139	130	139	130	Non-English specification	
Name					_	147	2,520	147	2,250	For filling a request for ex parte reexamination	
^	harae :	anv Addi	nonal F	oo Required			920*	112	320*	Requesting publication of SIR prior to Examiner action	
Charge any Additional Fee Required Under 37 CFR §§1.16 & 1.17			112	1,840*	113	1,840*	Requesting publication of SIR after Examiner action				
						115	110	215	55	Extension for reply within first month	
2. Payment Enclosed:					400	216	200	Extension for reply within second month			
_	Cr	neck	Пм	oney Order 🔲 🤇	Other	116	920	217	460	Extension for reply within third month	
				1	1 440	218	720	Extension for reply within fourth month			
FEE CALCULATION				118	1,960	228	980	Extension for reply within fifth month			
1. BA	ASIC	FILING	FEE	<u>:</u>		119	320	219	160	Notice of Appeal	
Large !	Entity	Small E	ntity			120	320	220	180	Filing a brief in support of an appeal	
Fee	Fee	Fee	Fee	Fee Description	Fee	121	280	221	140	Request for oral hearing	
Code	\$	Code	\$	_	Pald	138	1,510	138	1,150	Petition to Institute a public use proceeding	
101	740	201	370	Utility Filing Fee		140	110	240	55	Petition to revive - unavoidable	
105	330	208	185	Deelgn Filing Fee		141	1.280	241	640	Pelition to revive - unintersional	
107	510	207	255	Plant Filing Fee	<u> </u>	142	1,280	242	840	Utility Issue fee (or rejssue)	
108	740	208	370	Releaue Filing Fee		143	460	243	230	Design issue foe	
114	160	214	80	Provisional Filing Fee		144	620	244	310	Plant issue fee	
	S	UBTOTA	(L (1)		\$	122	130	122	130	Petitions to the Commissioner	
2. EX	TRA	CLAIM	FEE	\$		123	50	123	50	Processing fee under 37 CFR 1.17(q)	
			Extra Claims	Fee from Fee P	aid	126	180	126	180	Submission of Information Disclosure Statement	
Total Claim	165	-57 =	8	* [18.00]	44	581	40	581	40	Recording each parent assignment per property (times number of properties)	
Cigirns Mulliple De	endent C	ialms.	-9-	280.00 = -	0.	146	740	248	370	Filing a submission after final rejection (37 CFR 1.129(9))	
Large		-	Entity			149	740	249	370	For each additional Invention to be examined (37 CFR 1.129(b))	
Fee Code	Fee S	Fee Code	Fee S	Fee Description	20	173	740	279	3370	Request for Continued Examination (RCE)	
103	18	203	9	•		159	900	169	800	Request for expedited examination of a design application	
102	84	202	42	Independent claims in	excess	195	300	195	300	Publication fee	
				of 3							
104	280	204	140			Other fo	:= (specify)	1			
109	84	209	42	over original patant							
110	16	210	9	Release daims in exce and over original pere		v 01_al	ed by Basic	o Filipo F-	o Paid	SUBTOTAL (3)	\$.00
		SUBTO	TAL (2)		\$228.00	Kegut	AND DATE	e candig ex	~ C #1M		
L											

SUBMITTED BY		Complete (if applicable)
Name (Print / Type)	Andrew A. Abeyta Pegistration No. 39,	,582 Telephone 602-313-3345
Signature	1111	Date 5 - 12 - 03

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#3/Formal Dawings
3/21/03
2 Bell
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LETTER TO OFFICIAL DRAFTSPERSON

Mail Stop PCPUB Drawings Assistant Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 RECEIVED

EXT 15 2003

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CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: E333851715US, in an envelope addressed to:

Commissioner for Patents, PQ Box 1450, Alexandria, VA 22313-1450, on this 2 day of May, 2003.

I. The man

Lynn Thompson

Dear Sir:

Submitted herewith are four (4) sheets of substitute corrected formal drawings for filing

in the above-identified application.

Dated: May 12, 2003

Brian N. Tufte, Reg. No. 38,638

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800

Minneapolis, MN 55403-2402

Telephone: (612) 677-9050

Facsimile: (612) 359-9349